

Some Metric Compactifications of \mathbf{N}

This section studies properties of certain metric compactifications of the natural numbers, which have the discrete topology throughout. These compactifications are constructed from normal bases by the technique presented in [6].

Let $k, n \in \mathbf{N}$. Throughout this section $E_n(k)$ will denote equivalence mod k^n . The corresponding equivalence classes are $C_n^i(k)$ for $i = 1 \dots k^n$. Definitions, notation, and facts from [1], [4], and [5] will be used as needed.

The Spaces \mathbf{N}_k and \mathbf{N}_∞

Lemma R10.1.1 Let E, F be equivalence relations on an infinite discrete space X with E l -compatible and F m -compatible. If $E \subseteq F$, then $\mathcal{Z}(F) \subseteq \mathcal{Z}(E)$.

Proof: Let C_1, \dots, C_l be the distinct infinite equivalence classes of E and D_1, \dots, D_m the distinct infinite equivalence classes of F . Let $Z \in \mathcal{Z}(F)$ be associated with $\Delta \subseteq \{1, \dots, m\}$ relative to F . Since $E \subseteq F$, each C_i must be contained in a unique D_j . Let $\Gamma = \{i : C_i \subseteq D_j \text{ for some } j \in \Delta\}$. If $i \in \Gamma$, then $Z \cap C_i$ is contained in the corresponding $Z \cap D_j$ which is finite. If $i \in \{1, \dots, l\} - \Gamma$, then $C_i \subseteq D_j$ for some $j \notin \Delta$ and $(X - Z) \cap C_i$ must be contained in the finite $(X - Z) \cap D_j$. Thus Z is associated with Γ relative to E and $Z \in \mathcal{Z}(E)$.

Lemma R10.1.2 Let $k, n \in \mathbf{N}$. Then

- i) $E_{n+1}(k) \subseteq E_n(k)$.
- ii) $C_n^i(k) = \bigcup \{C_{n+1}^{i+jk^n}(k) : j = 0, \dots, k-1\}$.
- iii) $\mathcal{Z}(E_n(k)) \subseteq \mathcal{Z}(E_{n+1}(k))$.

Proof: The first assertion is clear and the second is a routine application of the division algorithm. The third is immediate from the first and R10.1.1.

Definition R10.1.3 Let $k \in \mathbf{N}$. $\mathcal{Z}_k = \bigcup \{\mathcal{Z}(E_n(k)) : n \in \mathbf{N}\}$.

Proposition R10.1.4 Let $k \in \mathbf{N}$. Then

- i) \mathcal{Z}_k is a normal basis for \mathbf{N} .
- ii) $(\omega(\mathcal{Z}_k), \iota_{\mathcal{Z}_k}) = \bigvee \{(\omega(\mathcal{Z}(E_n(k))), \iota_{\mathcal{Z}(E_n(k))}) : n \in \mathbf{N}\}$.

Proof: R10.1.2.iii shows that $\{\mathcal{Z}(E_n(k)) : n \in \mathbf{N}\}$ is a chain and so the first conclusion follows from R9.2.1 and the second from R9.2.4.

From now on, \mathbf{N}_k will denote the T_2 compactification $(\omega(\mathcal{Z}_k), \iota_{\mathcal{Z}_k})$. Note that $E_n(1) = \mathbf{N} \times \mathbf{N}$ for all n so that $\mathcal{Z}(E_n(1))$ is always the collection of all subsets of \mathbf{N} which are either finite or co-finite and \mathbf{N}_1 is the one-point compactification.

Lemma R10.1.5 The collection $\{\mathcal{Z}(E_n(k)) : n, k \in \mathbf{N}\}$ is a directed set under containment.

Proof: Only the directed set property needs to be verified. Given $\mathcal{Z}(E_n(k))$ and $\mathcal{Z}(E_m(l))$, let $r = mn$ and $q = kl$. Since k^n and l^m both divide q^r , $E_r(q) \subseteq E_n(k) \cap E_m(l)$. By R10.1.1 $\mathcal{Z}(E_n(k)) \cup \mathcal{Z}(E_m(l)) \subseteq \mathcal{Z}(E_r(q))$.

Definition R10.1.6 $\mathcal{Z}_\infty = \bigcup \{\mathcal{Z}(E_n(k)) : n, k \in \mathbf{N}\}$.

Proposition R10.1.7 \mathcal{Z}_∞ is a normal basis for \mathbf{N} and $(\omega(\mathcal{Z}_\infty), \iota_{\mathcal{Z}_\infty}) = \bigvee \{(\omega(\mathcal{Z}(E_n(k))), \iota_{\mathcal{Z}(E_n(k))}) : n, k \in \mathbf{N}\}$.

Proof: These statements follow immediately from R.10.1.5, R9.2.1, and R9.2.4.

From now on, \mathbf{N}_∞ will denote the T_2 compactification $(\omega(\mathcal{Z}_\infty), \iota_{\mathcal{Z}_\infty})$.

Corollary R10.1.8 $\mathbf{N}_\infty = \bigvee \{ \mathbf{N}_k : k \in \mathbf{N} \}$.

Proof: This is clear from R10.1.7 and R10.1.4.

Lemma R10.1.9 Let E be an n -compatible equivalence relation on \mathbf{N} . Then $\omega(\mathcal{Z}(E))$ is 2nd countable.

Proof: Let C_1, \dots, C_n be the distinct infinite equivalence classes of E . By R5.3.8 $(\omega(\mathcal{Z}(E)), \iota_{\mathcal{Z}(E)})$ is equivalent to (Y, ι_E) , where $Y = \mathbf{N} \cup \{p_1, \dots, p_n\}$, p_1, \dots, p_n are distinct and not in \mathbf{N} , $\tau(E) = \{O \subseteq Y : p_i \in O \Rightarrow (\mathbf{N} - O) \cap C_i \text{ is finite}\}$ is the topology on Y , and ι_E is the identity map. It is sufficient to show that $(Y, \tau(E))$ is 2nd countable. If $p_i \in O \in \tau(E)$, let F be the finite set $(\mathbf{N} - O) \cap C_i$. It is easy to check that $(C_i - F) \cup \{p_i\}$ is open in Y and $(C_i - F) \cup \{p_i\} \subseteq O$. Thus $\{(C_i - H) \cup \{p_i\} : H \text{ is a finite subset of } \mathbf{N}\}$ is a countable local basis at p_i . These n local bases together with the singletons for points of \mathbf{N} form the required countable basis in Y .

Proposition R10.1.10 The compactifications \mathbf{N}_∞ and \mathbf{N}_k for any $k \in \mathbf{N}$ have the following properties:

- i) They are suprema of finite-point compactifications.
- ii) They are 2nd countable.
- iii) They are metrizable.
- iv) They are zero-dimensional.
- v) They are not homeomorphic to $\beta\mathbf{N}$.
- vi) They are not extremely disconnected.

Proof: By R5.3.8 each $\omega(\mathcal{Z}(E_n(k)))$ is a finite-point compactification and so i) follows immediately from the definitions. Property ii) follows from the preceding lemma and R3.2.8 in [3]. The third conclusion is immediate from Urysohn's Theorem: a 2nd countable T_3 space must be metrizable. The fourth follows from i) and R9.3.3. Property v) follows because $\beta\mathbf{N}$ is not metrizable. The last holds because $\beta\mathbf{N}$ is the only extremely disconnected compactification of \mathbf{N} .

Filters and Convergence

Lemma R10.2.1 Let $k \in \mathbf{N}$. $\mathcal{F} \in \mathbf{N}_k$ if and only if $\mathcal{F} = \cup \{ \mathcal{F}^n : n \in \mathbf{N} \}$, where, for all n , $\mathcal{F}^n \in \omega(\mathcal{Z}(E_n(k)))$ and $\mathcal{F}^n \subseteq \mathcal{F}^{n+1}$.

Proof: Given $\mathcal{F} \in \mathbf{N}_k$, let $\mathcal{F}^n = \mathcal{F} \cap \mathcal{Z}(E_n(k))$. By R9.2.2 each $\mathcal{F}^n \in \omega(\mathcal{Z}(E_n(k)))$. The union equation is immediate from the definition of \mathcal{Z}_k and $\mathcal{F}^n \subseteq \mathcal{F}^{n+1}$ since $\mathcal{Z}(E_n(k)) \subseteq \mathcal{Z}(E_{n+1}(k))$. The converse follows easily from R9.2.3.

Lemma R10.2.2 Let $k \in \mathbf{N}$, let $\mathcal{F} \in \mathbf{N}_k$, and let $\mathcal{F}^n = \mathcal{F} \cap \mathcal{Z}(E_n(k))$. Then \mathcal{F} is a non-point \mathcal{Z}_k -ultrafilter if and only if each \mathcal{F}^n is a non-point element of $\omega(\mathcal{Z}(E_n(k)))$.

Proof: Since $\mathcal{Z}(E_n(k))$ contains all singletons and \mathcal{F} is the point \mathcal{Z}_k -ultrafilter generated by x if and only if $\{x\} \in \mathcal{F}$, the conclusion follows easily.

By R5.3.7 each non-point element of $\omega(\mathcal{Z}(E_n(k)))$ can be described uniquely as $\{Z \in \mathcal{Z}(E_n(k)) : Z \text{ is associated with } \Delta \subseteq \{1, \dots, k^n\} - \{i\}\}$, where $1 \leq i \leq k^n$. That fact allows the following definition.

Definition R10.2.3 Let $k \in \mathbf{N}$ and let $\mathcal{F} \in \mathbf{N}_k$ be a non-point \mathcal{Z}_k -ultrafilter. The sequence $\{x_n\}$ associated with \mathcal{F} is defined by $x_n = i$ where $\mathcal{F} \cap \mathcal{Z}(E_n(k)) = \{Z \in \mathcal{Z}(E_n(k)) : Z \text{ is associated with } \Delta \subseteq \{1, \dots, k^n\} - \{i\}\}$.

Since i is unique at each level by R5.3.7, this definition can be interpreted as defining a function from the non-point elements of \mathbf{N}_k to the set of sequences of natural numbers.

The next lemma shows that the function is one-to-one.

Lemma R10.2.4 Let $k \in \mathbf{N}$ and let $\mathcal{F}, \mathcal{G} \in \mathbf{N}_k$ be non-point \mathcal{Z}_k -ultrafilters associated with the sequences $\{x_n\}$ and $\{y_n\}$ respectively. If $x_n = y_n$ for all n , then $\mathcal{F} = \mathcal{G}$.

Proof: Let $\mathcal{F}^n = \mathcal{F} \cap \mathcal{Z}(E_n(k))$ and $\mathcal{G}^n = \mathcal{G} \cap \mathcal{Z}(E_n(k))$. If $x_n = y_n$ for all n , then by R5.3.7 $\mathcal{F}^n = \mathcal{G}^n$ for all n and so $\mathcal{F} = \mathcal{G}$.

Lemma R10.2.5 Let $k \in \mathbf{N}$ and let $\mathcal{F} \in \mathbf{N}_k$ be a non-point \mathcal{Z}_k -ultrafilter. Assume the sequence $\{x_n\}$ is associated with \mathcal{F} . Then

- i) For all $n \in \mathbf{N}$, $x_{n+1} \in \{x_n + jk^n : 0 \leq j \leq (k-1)\}$.
- ii) For all $n, m \in \mathbf{N}$, k^n divides $x_{n+m} - x_n$.

Proof: $C_n^{x_n}(k)$ is associated with $\{1, \dots, k^n\} - \{x_n\}$ and so, by definition of x_n , is in $\mathcal{F} \cap \mathcal{Z}(E_n(k))$. By R10.1.2iii it is also in $\mathcal{F} \cap \mathcal{Z}(E_{n+1}(k))$, and at the $(n+1)^{st}$ level it must be associated with some $\Delta \subseteq \{1, \dots, k^{n+1}\} - \{x_{n+1}\}$ by definition of x_{n+1} . By R10.1.2ii, $C_n^{x_n}(k) \cap C_{n+1}^t(k)$ is infinite for $t \in \{x_n + jk^n : 0 \leq j \leq k-1\}$ and is empty for the other possible values of t . Thus x_{n+1} must be in $\{x_n + jk^n : 0 \leq j \leq k-1\}$, as required for i). Part ii) follows from i) by fixing n and doing a routine induction on m .

Lemma R10.2.6 Let $k \in \mathbf{N}$ and assume $\{x_n\}$ is a sequence of natural numbers such that $x_1 \in \{1, \dots, k\}$ and, for all $n \in \mathbf{N}$, $x_{n+1} \in \{x_n + jk^n : 0 \leq j \leq (k-1)\}$. Then there is a unique non-point \mathcal{Z}_k -ultrafilter associated with $\{x_n\}$.

Proof: Let $\{Z \in \mathcal{Z}(E_n(k)) : Z \text{ is associated with some } \Delta \subseteq \{1, \dots, k^n\} - \{x_n\}\}$ be denoted by \mathcal{F}^n . By R5.3.7 \mathcal{F}^n is a non-point $\mathcal{Z}(E_n(k))$ -ultrafilter. First, it will be shown that $\mathcal{F}^n \subseteq \mathcal{F}^{n+1}$. Let $Z \in \mathcal{F}^n$ be associated with $\delta \subseteq \{1, \dots, k^n\} - \{x_n\}$. By R10.1.2iii Z is also in $\mathcal{Z}(E_{n+1}(k))$ and, as such, must be associated with a unique $\delta^* \subseteq \{1, \dots, k^{n+1}\}$. Then $(\mathbf{N} - Z) \cap C_n^{x_n}$ is finite and, by R10.1.2ii, $(\mathbf{N} - Z) \cap C_{n+1}^t$ must be finite for $t \in \{x_n + jk^n : 0 \leq j \leq (k-1)\}$. Therefore $x_{n+1} \notin \delta^*$ and so $Z \in \mathcal{F}^{n+1}$. Next R10.2.1 and R10.2.2 apply to show that $\mathcal{F} = \cup\{\mathcal{F}^n : n \in \mathbf{N}\}$ is a non-point \mathcal{Z}_k -ultrafilter. By construction \mathcal{F} is associated with $\{x_n\}$. If \mathcal{G} in \mathbf{N}_k is also associated with $\{x_n\}$, then $\mathcal{G} \cap \mathcal{Z}(E_n(k)) = \mathcal{F}^n$ for all n and so $\mathcal{G} = \mathcal{F}$.

Proposition R10.2.7 Let $k \in \mathbf{N}$ with $k \geq 2$. Then the cardinality of \mathbf{N}_k is 2^{\aleph_0} .

Proof: Let S be the set of sequences associated with some non-point \mathcal{Z}_k -ultrafilter. Since the set of all sequences in \mathbf{N} has cardinality $\aleph_0^{\aleph_0} = 2^{\aleph_0}$, $|S| \leq 2^{\aleph_0}$. For any $A \subseteq \mathbf{N}$ let $x_1 = 1$ if $1 \in A$ and $x_1 = 2$ if $1 \notin A$. Let $x_{n+1} = x_n$ if $n+1 \in A$ and $x_{n+1} = x_n + k^n$ if $n+1 \notin A$. By R10.2.6 $\{x_n\}$ must be in S . This defines a map from the power set of \mathbf{N} into S . This map is easily seen to be one-to-one and so $2^{\aleph_0} \leq |S|$. Clearly S is the image of the function defined in R10.2.3 and, since that function is one-to-one by R10.2.4, the set of non-point \mathcal{Z}_k -ultrafilters has cardinality $|S| = 2^{\aleph_0}$. Since there are countably many point \mathcal{Z}_k -ultrafilters, the cardinality of \mathbf{N}_k is $\aleph_0 + 2^{\aleph_0} = 2^{\aleph_0}$.

Lemma R10.2.8 Let \mathcal{F} be a \mathcal{Z}_∞ -ultrafilter. Then $\mathcal{F} \cap \mathcal{Z}_k$ is a \mathcal{Z}_k -ultrafilter for all k in \mathbf{N} and \mathcal{F} is a point ultrafilter if and only if $\mathcal{F} \cap \mathcal{Z}_k$ is a point ultrafilter for all k .

Proof: By the definition of \mathcal{Z}_k , $\mathcal{F} \cap \mathcal{Z}_k = \cup\{\mathcal{F} \cap \mathcal{Z}(E_n(k)) : n \in \mathbf{N}\}$. By R9.2.2 each $\mathcal{F} \cap \mathcal{Z}(E_n(k))$ is a $\mathcal{Z}(E_n(k))$ -ultrafilter. By R9.2.3 $\mathcal{F} \cap \mathcal{Z}_k$ is a \mathcal{Z}_k -ultrafilter. The second assertion follows easily because $\{x\} \in \mathcal{Z}_k$ for all k and \mathcal{F} is the point ultrafilter determined by x if and only if $\{x\} \in \mathcal{F}$.

Corollary R10.2.9 The cardinality of \mathbf{N}_∞ is 2^{\aleph_0} .

Proof: Since $\mathbf{N}_2 \leq \mathbf{N}_\infty$, there is a surjection from \mathbf{N}_∞ to \mathbf{N}_2 . Therefore

$|\mathbf{N}_\infty| \geq |\mathbf{N}_2| = 2^{\aleph_0}$ by R10.2.7. Given \mathcal{F} , a non-point \mathcal{Z}_∞ -ultrafilter, the non-point ultrafilter $\mathcal{F} \cap \mathcal{Z}_k$ is associated with a unique sequence $\{x_n^k\}$ as in R10.2.3. This defines a map from the non-point ultrafilters of \mathbf{N}_∞ to the set of sequences of sequences in \mathbf{N} , and this map is clearly one-to-one. Since there are only countably many point \mathcal{Z}_∞ -ultrafilters and the set of sequences of sequences in \mathbf{N} has cardinality $(\aleph_0^{\aleph_0})^{\aleph_0} = (2^{\aleph_0})^{\aleph_0} = 2^{\aleph_0}$, $|\mathbf{N}_\infty| \leq 2^{\aleph_0}$.

Notice that R10.2.7 and R10.2.9 yield cardinality proofs that neither \mathbf{N}_k nor \mathbf{N}_∞ is $\beta\mathbf{N}$, since $\beta\mathbf{N}$ is known to have cardinality 2^c where $c = 2^{\aleph_0}$.

For the next three results the following notational simplification will be used: For $k, x, m \in \mathbf{N}$ the point \mathcal{Z}_k -ultrafilter \mathcal{F}_x will be written as \hat{x} and $\mathcal{F}_x \cap \mathcal{Z}(E_m(k))$ as \hat{x}^m .

Lemma R10.2.10 Let $k \in \mathbf{N}$, let $\{x_n\}$ be a sequence in \mathbf{N} , and let $\mathcal{F} \in \mathbf{N}_k$. Then $\hat{x}_n \rightarrow \mathcal{F}$ in \mathbf{N}_k if and only if $\hat{x}_n^m \rightarrow \mathcal{F} \cap \mathcal{Z}(E_m(k))$ for every $m \in \mathbf{N}$.

Proof: As in the proof of R9.1.1, for any m , the map $h : \mathbf{N}_k \rightarrow \omega(\mathcal{Z}(E_m(k)))$ given by $h(\mathcal{G}) = \mathcal{G} \cap \mathcal{Z}(E_m(k))$ is continuous and so $\hat{x}_n \rightarrow \mathcal{F}$ in \mathbf{N}_k implies $\hat{x}_n^m \rightarrow \mathcal{F} \cap \mathcal{Z}(E_m(k))$. For the converse, let $Z \in \mathcal{Z}_k$ with $\mathcal{F} \notin Z^\omega$. Since \mathcal{Z}_k^ω is a closed base for \mathbf{N}_k , it is sufficient to show that $\hat{x}_n \notin Z^\omega$ eventually. Pick m with $Z \in \mathcal{Z}(E_m(k))$. By convergence at level m , $x_n \notin Z$ eventually, which is equivalent to $\hat{x}_n \notin Z^\omega$ eventually.

Proposition R10.2.11 Let $k \in \mathbf{N}$, let $\{x_n\}$ be a sequence in \mathbf{N} , and let $\mathcal{F} \in \mathbf{N}_k$ be a non-point ultrafilter associated with $\{y_n\}$. Then $\hat{x}_n \rightarrow \mathcal{F}$ in \mathbf{N}_k if and only if

- i) $\{x_n\}$ is unbounded and
- ii) for every $m \in \mathbf{N}$, x_n is eventually in $C_m^{y_m}(k)$

Proof: First assume $\hat{x}_n \rightarrow \mathcal{F}$ in \mathbf{N}_k . If $\{x_n\}$ were bounded, then $\{\hat{x}_n : n \in \mathbf{N}\}$ would be finite and so closed in \mathbf{N}_k . Its open complement would contain the non-point \mathcal{F} , which would contradict the given convergence. Next let $m \in \mathbf{N}$ and let $\mathcal{F}^m = \mathcal{F} \cap \mathcal{Z}(E_m(k))$. By definition $\mathcal{F}^m = \{Z \in \mathcal{Z}(E_m(k)) : Z \text{ is associated with } \Delta \subseteq \{1, \dots, k^m\} - \{y_m\}\}$ and so $C_m^{y_m}(k) \in \mathcal{F}^m$. Let $Z = \mathbf{N} - C_m^{y_m}(k)$. $Z \in \mathcal{Z}(E_m(k))$ since $\mathcal{Z}(E_m(k))$ is closed under complementation and, by the definition of a filter, $Z \notin \mathcal{F}^m$. In other words, \mathcal{F}^m is not in the $\omega(\mathcal{Z}(E_m(k)))$ -closed set Z^ω . By R10.2.10 $\hat{x}_n^m \rightarrow \mathcal{F}^m$ in $\omega(\mathcal{Z}(E_m(k)))$ and so eventually \hat{x}_n^m is in the complement of Z^ω . From that it easily follows that x_n is eventually in $C_m^{y_m}(k)$.

For the converse, assume $\{x_n\}$ has the two properties. Since \mathcal{Z}_k^ω is a closed base for \mathbf{N}_k , it is sufficient to consider \mathcal{F} in an open set which is the complement of Z^ω for some $Z \in \mathcal{Z}_k$. Pick m with $Z \in \mathcal{Z}(E_m(k))$ and let Z be associated with $\Delta \subseteq \{1, \dots, k^m\}$. Since $Z \notin \mathcal{F}$, $Z \notin \mathcal{F} \cap \mathcal{Z}(E_m(k))$. By definition of y_m , $y_m \in \Delta$ so that $Z \cap C_m^{y_m}(k)$ is finite. Since $\{x_n\}$ is unbounded and eventually in $C_m^{y_m}(k)$, eventually $x_n \notin Z$. In other words, eventually \hat{x}_n is in the complement of Z^ω , as required for convergence.

Proposition R10.2.12 Let $k \in \mathbf{N}$ and let $\{x_n\}$ be an unbounded sequence in \mathbf{N} . Assume for every $m \in \mathbf{N}$ there is $y_m \in \{1, \dots, k^m\}$ such that x_n is eventually in $C_m^{y_m}(k)$. Then there is a non-point \mathcal{Z}_k -ultrafilter \mathcal{F} such that \mathcal{F} is associated with $\{y_n\}$ and $\hat{x}_n \rightarrow \mathcal{F}$ in \mathbf{N}_k .

Proof: Let $m \in \mathbf{N}$. By R10.1.2ii, $C_m^{y_m}(k) = \bigcup \{C_{m+1}^{y_m + jk^m}(k) : j = 0, \dots, k-1\}$. Since the equivalence classes form a partition and x_n is eventually in both $C_m^{y_m}(k)$ and $C_{m+1}^{y_m+1}(k)$, y_{m+1} must be in $\{y_m + jk^m : j \in \{0, 1, \dots, k-1\}\}$. By R10.2.6 there is a unique non-point \mathcal{Z}_k -ultrafilter \mathcal{F} associated with $\{y_n\}$. By R10.2.11 $\hat{x}_n \rightarrow \mathcal{F}$ in \mathbf{N}_k .

Lattice Structure and Divisibility

Well-known facts about divisibility will be used in this subsection. For $a, b \in \mathbf{N}$, $a|b$ means a divides b , $[a, b]$ denotes the least common multiple (LCM) of a and b , and (a, b) the greatest common divisor (GCD).

Lemma R10.3.1 Let $a, b \in \mathbf{N}$. Then

- i) If $a|b$, then $\mathbf{N}_a \leq \mathbf{N}_b$.
- ii) For any $j \in \mathbf{N}$, \mathbf{N}_{a^j} is equivalent to \mathbf{N}_a .
- iii) $\mathbf{N}_a \vee \mathbf{N}_b \leq \mathbf{N}_{[a,b]}$.
- iv) $\mathbf{N}_{(a,b)} \leq \mathbf{N}_a \wedge \mathbf{N}_b$.

Proof: If $a|b$, it is clear from the definitions that $E_n(b) \subseteq E_n(a)$ and so, by R10.1.1 and R9.1.8, $[(\omega(\mathcal{Z}(E_n(a))), \iota_{\mathcal{Z}(E_n(a))})] \leq [(\omega(\mathcal{Z}(E_n(b))), \iota_{\mathcal{Z}(E_n(b))})]$. This immediately yields $\mathbf{N}_a \leq \mathbf{N}_b$. Similarly, $E_n(a^j) = E_{n_j}(a)$ so that $\mathbf{N}_{a^j} \leq \mathbf{N}_a$. Part i) gives the reverse inequality so that ii) follows. Since both a and b divide $[a, b]$, iii) is immediate from i). Part iv) follows in the same way because (a, b) divides both a and b .

Lemma R10.3.2 Let $a, b \in \mathbf{N}$ and let $m = [a, b]$. Let $j \in \mathbf{N}$. If $C_j^r(a) \cap C_j^s(b) \neq \emptyset$ for some $r \in \{1, \dots, a^j\}, s \in \{1, \dots, b^j\}$, then there is a unique $t \in \{1, \dots, m^j\}$ such that $C_j^r(a) \cap C_j^s(b) \subseteq C_j^t(m)$.

Proof: Let x be in the intersection. There is a unique $t \in \{1, \dots, m^j\}$ such that $x \in C_j^t(m)$. If y is also in the intersection, then $a^j|(x-y)$ and $b^j|(x-y)$ so that $m^j|(x-y)$, i.e., x and y must be in the same $E_j(m)$ equivalence class.

The rest of this subsection will use the following notation: for $t, x \in \mathbf{N}$, ${}^t\hat{x}$ will denote the point-ultrafilter determined by x in \mathbf{N}_t . In the next proposition the compactification $\mathbf{N}_a \vee \mathbf{N}_b$ will be represented as a subspace of $\mathbf{N}_a \times \mathbf{N}_b$ as in R3.1.2 of [3].

Proposition R10.3.3 Let $a, b \in \mathbf{N}$. Then $\mathbf{N}_a \vee \mathbf{N}_b$ is equivalent to $\mathbf{N}_{[a,b]}$.

Proof: Let $m = [a, b]$. By R10.3.1iii there is $h : \mathbf{N}_m \rightarrow \mathbf{N}_a \vee \mathbf{N}_b$ continuous, closed and onto such that $h({}^m\hat{x}) = ({}^a\hat{x}, {}^b\hat{x})$ for all x in \mathbf{N} . It is sufficient to show that h is one-to-one.

Suppose $h(\mathcal{F}) = h(\mathcal{G}) = (\mathcal{H}_1, \mathcal{H}_2)$ where \mathcal{F}, \mathcal{G} are in \mathbf{N}_m , \mathcal{H}_1 is in \mathbf{N}_a , and \mathcal{H}_2 is in \mathbf{N}_b . By R10.1.10iii and density, there exist sequences $\{x_n\}$ and $\{y_n\}$ in \mathbf{N} such that ${}^m\hat{x}_n \rightarrow \mathcal{F}$ and ${}^m\hat{y}_n \rightarrow \mathcal{G}$ in \mathbf{N}_m . By continuity of h and the fact that h ‘respects’ the embeddings, ${}^a\hat{x}_n \rightarrow \mathcal{H}_1$ and ${}^a\hat{y}_n \rightarrow \mathcal{H}_1$ in \mathbf{N}_a . Similarly, ${}^b\hat{x}_n \rightarrow \mathcal{H}_2$ and ${}^b\hat{y}_n \rightarrow \mathcal{H}_2$ in \mathbf{N}_b .

If \mathcal{H}_1 or \mathcal{H}_2 is a point-ultrafilter, then both $\{x_n\}$ and $\{y_n\}$ must be eventually constant with the same constant, which would imply that $\mathcal{F} = \mathcal{G}$. If \mathcal{F} or \mathcal{G} is a point-ultrafilter, both \mathcal{H}_1 and \mathcal{H}_2 are point-ultrafilters, which again yields $\mathcal{F} = \mathcal{G}$. Thus we can assume that $\mathcal{F}, \mathcal{G}, \mathcal{H}_1$, and \mathcal{H}_2 are non-point ultrafilters associated with $\{p_j\}, \{q_j\}, \{r_j\}$, and $\{s_j\}$ respectively.

Now fix $j \in \mathbf{N}$. Applying R10.2.11 repeatedly to the convergence facts described above, x_n is eventually in $C_j^{p_j}(m)$, y_n is eventually in $C_j^{q_j}(m)$, both x_n and y_n are eventually in $C_j^{r_j}(a)$, and both x_n and y_n are eventually in $C_j^{s_j}(b)$. By R10.3.2 there must be a unique t such that both x_n and y_n are eventually in $C_j^t(m)$ and so $p_j = t = q_j$. By R10.2.4 $\mathcal{F} = \mathcal{G}$.

Proposition R10.3.4 \mathbf{N}_∞ is equivalent to $\vee\{\mathbf{N}_p : p \text{ is prime}\}$.

Proof: From R10.1.8 it is clear that $\vee\{\mathbf{N}_p : p \text{ is prime}\} \leq \mathbf{N}_\infty$. Now let $k \in \mathbf{N}$. If $k = 1$, then \mathbf{N}_1 is the one-point compactification and so $\mathbf{N}_1 \leq \mathbf{N}_p$ for any prime. If

$k > 1$, let the prime factorization of k be $\prod_{i=1}^n p_i^{j_i}$. By R10.3.1ii each $\mathbf{N}_{p_i^{j_i}}$ is equivalent to \mathbf{N}_{p_i} and, since k is the LCM of $\{p_1^{j_1}, \dots, p_n^{j_n}\}$, a routine inductive extension of R10.3.3 shows that \mathbf{N}_k is equivalent to $\vee\{\mathbf{N}_t : t \in \{p_1, \dots, p_n\}\}$. Thus $\mathbf{N}_k \leq \vee\{\mathbf{N}_p : p \text{ is prime}\}$ for all k . It follows that $\mathbf{N}_\infty \leq \vee\{\mathbf{N}_p : p \text{ is prime}\}$.

Proposition R10.3.5 Let p, q be distinct primes in \mathbf{N} . Then $\mathbf{N}_p \not\leq \mathbf{N}_q$.

Proof: Deny. Then there is a continuous onto map $f : \mathbf{N}_q \rightarrow \mathbf{N}_p$ such that $f({}^q\hat{x}) = {}^p\hat{x}$ for all x in \mathbf{N} . Use R10.2.12 with $k = q$ and $y_m = q^m$ to see that the sequence ${}^q\widehat{q^n}$ converges to a non-point ultrafilter in \mathbf{N}_q . By the continuity of f the sequence ${}^p\widehat{q^n}$ converges to a non-point ultrafilter in \mathbf{N}_p . Applying R10.2.11 to the second sequence with $m = 1$, we can find $t \in \{1, \dots, p\}$ such that q^n is eventually in $C_1^t(p)$. Thus, for sufficiently large n , $q^n \equiv q^{n+1} \pmod{p}$. Since q is invertible mod p , this yields $q \equiv 1 \pmod{p}$. Similarly by R10.2.12 the sequence ${}^q\widehat{nq^n}$ converges to a non-point ultrafilter in \mathbf{N}_q and so ${}^p\widehat{nq^n}$ converges to a non-point ultrafilter in \mathbf{N}_p . Applying R10.2.11 to the last sequence with $m = 1$, we find $s \in \{1, \dots, p\}$ such that nq^n is eventually in $C_1^s(p)$, i.e., for sufficiently large n , $nq^n \equiv (n+1)q^{n+1} \pmod{p}$. Since $q \equiv 1 \pmod{p}$, this implies $n \equiv n+1 \pmod{p}$ for sufficiently large n , a contradiction.

Since the roles of p and q can be reversed, R10.3.5 says that \mathbf{N}_p and \mathbf{N}_q are not related in any way. Although the question of whether the topological space \mathbf{N}_p is homeomorphic to \mathbf{N}_q remains open, the following corollary is immediate.

Corollary R10.3.6 Let p, q be distinct primes in \mathbf{N} . Then \mathbf{N}_p and \mathbf{N}_q are not equivalent compactifications.

This subsection concludes with a sharpened version of R10.3.1iv. In [2] each totally bounded uniformity, \mathcal{U} , for a $T_{3\frac{1}{2}}$ space X determines a compactification class $\Psi_0(\mathcal{U})$. R5.2.4 and R5.3.8 show that, if X is an infinite discrete space and E is an n -compatible equivalence relation on X , then $\Psi_0(\mathcal{U}_m \vee \mathcal{U}_E)$ is compactification class of $(\omega(\mathcal{Z}(E)), \iota_{\mathcal{Z}(E)})$, where \mathcal{U}_m is the totally bounded uniformity associated with the one-point compactification and \mathcal{U}_E is the uniformity based on E as defined in R5.2.1. The next two lemmas use these ideas to describe the uniformity associated with \mathbf{N}_k .

Lemma R10.3.7 Let X be an infinite discrete space. Suppose Δ is non-empty and for each $\alpha \in \Delta$ E_α is an n_α -compatible equivalence relation on X such that $\{\mathcal{Z}(E_\alpha) : \alpha \in \Delta\}$ is a directed set under containment. Let $\mathcal{Z} = \cup\{\mathcal{Z}(E_\alpha) : \alpha \in \Delta\}$ and \mathcal{U}_α be the totally bounded uniformity for X such that $\Psi_0(\mathcal{U}_\alpha) = [(\omega(\mathcal{Z}(E_\alpha)), \iota_{\mathcal{Z}(E_\alpha)})]$. Then $\Psi_0(\vee\{\mathcal{U}_\alpha : \alpha \in \Delta\}) = [(\omega(\mathcal{Z}), \iota_{\mathcal{Z}})]$.

Proof: Let \mathcal{U} be the totally bounded uniformity for X such that $\Psi_0(\mathcal{U}) = [(\omega(\mathcal{Z}), \iota_{\mathcal{Z}})]$. R1.5 and R9.2.4 clearly imply that $\mathcal{U} = \vee\{\mathcal{U}_\alpha : \alpha \in \Delta\}$.

In the rest of this subsection, for j, t in \mathbf{N} , $\mathcal{U}_j(t)$ will denote $\mathcal{U}_m \vee \mathcal{U}_{E_j(t)}$.

Lemma R10.3.8 Let k be in \mathbf{N} . Let \mathcal{U} be the totally bounded uniformity for \mathbf{N} such that $\Psi_0(\mathcal{U})$ is the compactification class of \mathbf{N}_k . Then $\mathcal{U} = \cup\{\mathcal{U}_j(k) : j \in \mathbf{N}\}$.

Proof: The hypotheses of R10.3.7 are satisfied and so $\mathcal{U} = \vee\{\mathcal{U}_j(k) : j \in \mathbf{N}\}$. By R10.1.2iii $\mathcal{Z}(E_j(k)) \subseteq \mathcal{Z}(E_{j+1}(k))$ for all j in \mathbf{N} . By R9.1.8 and R1.5 $\mathcal{U}_j(k) \subseteq \mathcal{U}_{j+1}(k)$ for all j . Since $\{\mathcal{U}_j(k) : j \in \mathbf{N}\}$ is a chain, $\vee\{\mathcal{U}_j(k) : j \in \mathbf{N}\} = \cup\{\mathcal{U}_j(k) : j \in \mathbf{N}\}$.

Proposition R10.3.9 Let $a, b \in \mathbf{N}$. Then $\mathbf{N}_{(a,b)} = \mathbf{N}_a \wedge \mathbf{N}_b$.

Proof: Let $c = (a, b)$ so that $c^j = (a^j, b^j)$ for any $j \in \mathbf{N}$. Let (Y, f) be a T_2 compactification of \mathbf{N} with $(Y, f) \leq \mathbf{N}_a$ and $(Y, f) \leq \mathbf{N}_b$. Let \mathcal{V} be the totally bounded

uniformity for \mathbf{N} with $\Psi_0(\mathcal{V}) = [(Y, f)]$. By R10.3.8, R1.5, and R10.3.1iv it is sufficient to show that $\mathcal{V} \subseteq \cup\{\mathcal{U}_j(c) : j \in \mathbf{N}\}$. Let $V \in \mathcal{V}$ and pick $W \in \mathcal{V}$ with $W = W^{-1}$ and $W \circ W \subseteq V$. The assumptions about Y along with R1.5 and R10.3.8 imply that W is in both $\cup\{\mathcal{U}_j(a) : j \in \mathbf{N}\}$ and $\cup\{\mathcal{U}_j(b) : j \in \mathbf{N}\}$. Since both $\{\mathcal{U}_j(a) : j \in \mathbf{N}\}$ and $\{\mathcal{U}_j(b) : j \in \mathbf{N}\}$ are chains, there is $l \in \mathbf{N}$ such that $W \in \mathcal{U}_l(a) \cap \mathcal{U}_l(b)$. Then there is $U_1 \in \mathcal{U}_m$ with $U_1 \cap E_l(a) \subseteq W$ and $U_1 \cap E_l(b) \subseteq W$. Because \mathcal{U}_m is the uniformity associated with the one-point compactification of \mathbf{N} , there is B such that $B \times B \subseteq U_1$ and $\mathbf{N} - B$ is finite. Let $U = B \times B \cup \{(x, x) : x \in \mathbf{N} - B\}$. $U \in \mathcal{U}_m$ and so the proof can be completed by showing $U \cap E_l(c) \subseteq V$. Let $(x, y) \in U \cap E_l(c)$. Without loss of generality, assume $x > y$ so that $x, y \in B$ and $x - y = tc^l$ for some t in \mathbf{N} . Since c^l is the GCD of a^l and b^l , there are infinitely many integer solutions of $c^l = \alpha a^l + \beta b^l$ with $\alpha < 0$ and $\beta > 0$. Thus, since $\mathbf{N} - B$ is finite, we can find one such solution with $z = x - \alpha t a^l = y + \beta t b^l$ in B . Then both (x, z) and (z, y) are in W so that $(x, y) \in W \circ W \subseteq V$.

Corollary R10.3.10 Let $k \in \mathbf{N}$. Then \mathbf{N}_k is not equivalent to \mathbf{N}_∞ .

Proof: If $k = 1$, the conclusion follows because \mathbf{N}_1 is the one-point compactification and \mathbf{N}_∞ is not a finite-point compactification. If $k > 1$, let p be a prime with $(k, p) = 1$ and suppose \mathbf{N}_k is equivalent to \mathbf{N}_∞ . Then $\mathbf{N}_k \geq \mathbf{N}_p$ so that $\mathbf{N}_k \wedge \mathbf{N}_p = \mathbf{N}_p$, which contradicts R10.3.9.

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