

## Some Metric Compactifications of $\mathbf{N}$

This section studies properties of certain metric compactifications of the natural numbers, which have the discrete topology throughout. These compactifications are constructed from normal bases by the technique presented in [6].

Let  $k, n \in \mathbf{N}$ . Throughout this section  $E_n(k)$  will denote equivalence mod  $k^n$ . The corresponding equivalence classes are  $C_n^i(k)$  for  $i = 1 \dots k^n$ . Definitions, notation, and facts from [1], [4], and [5] will be used as needed.

### The Spaces $\mathbf{N}_k$ and $\mathbf{N}_\infty$

**Lemma R10.1.1** Let  $E, F$  be equivalence relations on an infinite discrete space  $X$  with  $E$   $l$ -compatible and  $F$   $m$ -compatible. If  $E \subseteq F$ , then  $\mathcal{Z}(F) \subseteq \mathcal{Z}(E)$ .

Proof: Let  $C_1, \dots, C_l$  be the distinct infinite equivalence classes of  $E$  and  $D_1, \dots, D_m$  the distinct infinite equivalence classes of  $F$ . Let  $Z \in \mathcal{Z}(F)$  be associated with  $\Delta \subseteq \{1, \dots, m\}$  relative to  $F$ . Since  $E \subseteq F$ , each  $C_i$  must be contained in a unique  $D_j$ . Let  $\Gamma = \{i : C_i \subseteq D_j \text{ for some } j \in \Delta\}$ . If  $i \in \Gamma$ , then  $Z \cap C_i$  is contained in the corresponding  $Z \cap D_j$  which is finite. If  $i \in \{1, \dots, l\} - \Gamma$ , then  $C_i \subseteq D_j$  for some  $j \notin \Delta$  and  $(X - Z) \cap C_i$  must be contained in the finite  $(X - Z) \cap D_j$ . Thus  $Z$  is associated with  $\Gamma$  relative to  $E$  and  $Z \in \mathcal{Z}(E)$ .

**Lemma R10.1.2** Let  $k, n \in \mathbf{N}$ . Then

- i)  $E_{n+1}(k) \subseteq E_n(k)$ .
- ii)  $C_n^i(k) = \bigcup \{C_{n+1}^{i+jk^n}(k) : j = 0, \dots, k-1\}$ .
- iii)  $\mathcal{Z}(E_n(k)) \subseteq \mathcal{Z}(E_{n+1}(k))$ .

Proof: The first assertion is clear and the second is a routine application of the division algorithm. The third is immediate from the first and R10.1.1.

**Definition R10.1.3** Let  $k \in \mathbf{N}$ .  $\mathcal{Z}_k = \bigcup \{\mathcal{Z}(E_n(k)) : n \in \mathbf{N}\}$ .

**Proposition R10.1.4** Let  $k \in \mathbf{N}$ . Then

- i)  $\mathcal{Z}_k$  is a normal basis for  $\mathbf{N}$ .
- ii)  $(\omega(\mathcal{Z}_k), \iota_{\mathcal{Z}_k}) = \bigvee \{(\omega(\mathcal{Z}(E_n(k))), \iota_{\mathcal{Z}(E_n(k))}) : n \in \mathbf{N}\}$ .

Proof: R10.1.2.iii shows that  $\{\mathcal{Z}(E_n(k)) : n \in \mathbf{N}\}$  is a chain and so the first conclusion follows from R9.2.1 and the second from R9.2.4.

From now on,  $\mathbf{N}_k$  will denote the  $T_2$  compactification  $(\omega(\mathcal{Z}_k), \iota_{\mathcal{Z}_k})$ . Note that  $E_n(1) = \mathbf{N} \times \mathbf{N}$  for all  $n$  so that  $\mathcal{Z}(E_n(1))$  is always the collection of all subsets of  $\mathbf{N}$  which are either finite or co-finite and  $\mathbf{N}_1$  is the one-point compactification.

**Lemma R10.1.5** The collection  $\{\mathcal{Z}(E_n(k)) : n, k \in \mathbf{N}\}$  is a directed set under containment.

Proof: Only the directed set property needs to be verified. Given  $\mathcal{Z}(E_n(k))$  and  $\mathcal{Z}(E_m(l))$ , let  $r = mn$  and  $q = kl$ . Since  $k^n$  and  $l^m$  both divide  $q^r$ ,  $E_r(q) \subseteq E_n(k) \cap E_m(l)$ . By R10.1.1  $\mathcal{Z}(E_n(k)) \cup \mathcal{Z}(E_m(l)) \subseteq \mathcal{Z}(E_r(q))$ .

**Definition R10.1.6**  $\mathcal{Z}_\infty = \bigcup \{\mathcal{Z}(E_n(k)) : n, k \in \mathbf{N}\}$ .

**Proposition R10.1.7**  $\mathcal{Z}_\infty$  is a normal basis for  $\mathbf{N}$  and  $(\omega(\mathcal{Z}_\infty), \iota_{\mathcal{Z}_\infty}) = \bigvee \{(\omega(\mathcal{Z}(E_n(k))), \iota_{\mathcal{Z}(E_n(k))}) : n, k \in \mathbf{N}\}$ .

Proof: These statements follow immediately from R.10.1.5, R9.2.1, and R9.2.4.

From now on,  $\mathbf{N}_\infty$  will denote the  $T_2$  compactification  $(\omega(\mathcal{Z}_\infty), \iota_{\mathcal{Z}_\infty})$ .

**Corollary R10.1.8**  $\mathbf{N}_\infty = \bigvee \{ \mathbf{N}_k : k \in \mathbf{N} \}$ .

Proof: This is clear from R10.1.7 and R10.1.4.

**Lemma R10.1.9** Let  $E$  be an  $n$ -compatible equivalence relation on  $\mathbf{N}$ . Then  $\omega(\mathcal{Z}(E))$  is 2nd countable.

Proof: Let  $C_1, \dots, C_n$  be the distinct infinite equivalence classes of  $E$ . By R5.3.8  $(\omega(\mathcal{Z}(E)), \iota_{\mathcal{Z}(E)})$  is equivalent to  $(Y, \iota_E)$ , where  $Y = \mathbf{N} \cup \{p_1, \dots, p_n\}$ ,  $p_1, \dots, p_n$  are distinct and not in  $\mathbf{N}$ ,  $\tau(E) = \{O \subseteq Y : p_i \in O \Rightarrow (\mathbf{N} - O) \cap C_i \text{ is finite}\}$  is the topology on  $Y$ , and  $\iota_E$  is the identity map. It is sufficient to show that  $(Y, \tau(E))$  is 2nd countable. If  $p_i \in O \in \tau(E)$ , let  $F$  be the finite set  $(\mathbf{N} - O) \cap C_i$ . It is easy to check that  $(C_i - F) \cup \{p_i\}$  is open in  $Y$  and  $(C_i - F) \cup \{p_i\} \subseteq O$ . Thus  $\{(C_i - H) \cup \{p_i\} : H \text{ is a finite subset of } \mathbf{N}\}$  is a countable local basis at  $p_i$ . These  $n$  local bases together with the singletons for points of  $\mathbf{N}$  form the required countable basis in  $Y$ .

**Proposition R10.1.10** The compactifications  $\mathbf{N}_\infty$  and  $\mathbf{N}_k$  for any  $k \in \mathbf{N}$  have the following properties:

- i) They are suprema of finite-point compactifications.
- ii) They are 2nd countable.
- iii) They are metrizable.
- iv) They are zero-dimensional.
- v) They are not homeomorphic to  $\beta\mathbf{N}$ .
- vi) They are not extremely disconnected.

Proof: By R5.3.8 each  $\omega(\mathcal{Z}(E_n(k)))$  is a finite-point compactification and so i) follows immediately from the definitions. Property ii) follows from the preceding lemma and R3.2.8 in [3]. The third conclusion is immediate from Urysohn's Theorem: a 2nd countable  $T_3$  space must be metrizable. The fourth follows from i) and R9.3.3. Property v) follows because  $\beta\mathbf{N}$  is not metrizable. The last holds because  $\beta\mathbf{N}$  is the only extremely disconnected compactification of  $\mathbf{N}$ .

### Filters and Convergence

**Lemma R10.2.1** Let  $k \in \mathbf{N}$ .  $\mathcal{F} \in \mathbf{N}_k$  if and only if  $\mathcal{F} = \bigcup \{ \mathcal{F}^n : n \in \mathbf{N} \}$ , where, for all  $n$ ,  $\mathcal{F}^n \in \omega(\mathcal{Z}(E_n(k)))$  and  $\mathcal{F}^n \subseteq \mathcal{F}^{n+1}$ .

Proof: Given  $\mathcal{F} \in \mathbf{N}_k$ , let  $\mathcal{F}^n = \mathcal{F} \cap \mathcal{Z}(E_n(k))$ . By R9.2.2 each  $\mathcal{F}^n \in \omega(\mathcal{Z}(E_n(k)))$ . The union equation is immediate from the definition of  $\mathcal{Z}_k$  and  $\mathcal{F}^n \subseteq \mathcal{F}^{n+1}$  since  $\mathcal{Z}(E_n(k)) \subseteq \mathcal{Z}(E_{n+1}(k))$ . The converse follows easily from R9.2.3.

**Lemma R10.2.2** Let  $k \in \mathbf{N}$ , let  $\mathcal{F} \in \mathbf{N}_k$ , and let  $\mathcal{F}^n = \mathcal{F} \cap \mathcal{Z}(E_n(k))$ . Then  $\mathcal{F}$  is a non-point  $\mathcal{Z}_k$ -ultrafilter if and only if each  $\mathcal{F}^n$  is a non-point element of  $\omega(\mathcal{Z}(E_n(k)))$ .

Proof: Since  $\mathcal{Z}(E_n(k))$  contains all singletons and  $\mathcal{F}$  is the point  $\mathcal{Z}_k$ -ultrafilter generated by  $x$  if and only if  $\{x\} \in \mathcal{F}$ , the conclusion follows easily.

By R5.3.7 each non-point element of  $\omega(\mathcal{Z}(E_n(k)))$  can be described uniquely as  $\{Z \in \mathcal{Z}(E_n(k)) : Z \text{ is associated with } \Delta \subseteq \{1, \dots, k^n\} - \{i\}\}$ , where  $1 \leq i \leq k^n$ . That fact allows the following definition.

**Definition R10.2.3** Let  $k \in \mathbf{N}$  and let  $\mathcal{F} \in \mathbf{N}_k$  be a non-point  $\mathcal{Z}_k$ -ultrafilter. The sequence  $\{x_n\}$  associated with  $\mathcal{F}$  is defined by  $x_n = i$  where  $\mathcal{F} \cap \mathcal{Z}(E_n(k)) = \{Z \in \mathcal{Z}(E_n(k)) : Z \text{ is associated with } \Delta \subseteq \{1, \dots, k^n\} - \{i\}\}$ .

Since  $i$  is unique at each level by R5.3.7, this definition can be interpreted as defining a function from the non-point elements of  $\mathbf{N}_k$  to the set of sequences of natural numbers.

The next lemma shows that the function is one-to-one.

**Lemma R10.2.4** Let  $k \in \mathbf{N}$  and let  $\mathcal{F}, \mathcal{G} \in \mathbf{N}_k$  be non-point  $\mathcal{Z}_k$ -ultrafilters associated with the sequences  $\{x_n\}$  and  $\{y_n\}$  respectively. If  $x_n = y_n$  for all  $n$ , then  $\mathcal{F} = \mathcal{G}$ .

Proof: Let  $\mathcal{F}^n = \mathcal{F} \cap \mathcal{Z}(E_n(k))$  and  $\mathcal{G}^n = \mathcal{G} \cap \mathcal{Z}(E_n(k))$ . If  $x_n = y_n$  for all  $n$ , then by R5.3.7  $\mathcal{F}^n = \mathcal{G}^n$  for all  $n$  and so  $\mathcal{F} = \mathcal{G}$ .

**Lemma R10.2.5** Let  $k \in \mathbf{N}$  and let  $\mathcal{F} \in \mathbf{N}_k$  be a non-point  $\mathcal{Z}_k$ -ultrafilter. Assume the sequence  $\{x_n\}$  is associated with  $\mathcal{F}$ . Then

- i) For all  $n \in \mathbf{N}$ ,  $x_{n+1} \in \{x_n + jk^n : 0 \leq j \leq (k-1)\}$ .
- ii) For all  $n, m \in \mathbf{N}$ ,  $k^n$  divides  $x_{n+m} - x_n$ .

Proof:  $C_n^{x_n}(k)$  is associated with  $\{1, \dots, k^n\} - \{x_n\}$  and so, by definition of  $x_n$ , is in  $\mathcal{F} \cap \mathcal{Z}(E_n(k))$ . By R10.1.2iii it is also in  $\mathcal{F} \cap \mathcal{Z}(E_{n+1}(k))$ , and at the  $(n+1)^{st}$  level it must be associated with some  $\Delta \subseteq \{1, \dots, k^{n+1}\} - \{x_{n+1}\}$  by definition of  $x_{n+1}$ . By R10.1.2ii,  $C_n^{x_n}(k) \cap C_{n+1}^t(k)$  is infinite for  $t \in \{x_n + jk^n : 0 \leq j \leq k-1\}$  and is empty for the other possible values of  $t$ . Thus  $x_{n+1}$  must be in  $\{x_n + jk^n : 0 \leq j \leq k-1\}$ , as required for i). Part ii) follows from i) by fixing  $n$  and doing a routine induction on  $m$ .

**Lemma R10.2.6** Let  $k \in \mathbf{N}$  and assume  $\{x_n\}$  is a sequence of natural numbers such that  $x_1 \in \{1, \dots, k\}$  and, for all  $n \in \mathbf{N}$ ,  $x_{n+1} \in \{x_n + jk^n : 0 \leq j \leq (k-1)\}$ . Then there is a unique non-point  $\mathcal{Z}_k$ -ultrafilter associated with  $\{x_n\}$ .

Proof: Let  $\{Z \in \mathcal{Z}(E_n(k)) : Z \text{ is associated with some } \Delta \subseteq \{1, \dots, k^n\} - \{x_n\}\}$  be denoted by  $\mathcal{F}^n$ . By R5.3.7  $\mathcal{F}^n$  is a non-point  $\mathcal{Z}(E_n(k))$ -ultrafilter. First, it will be shown that  $\mathcal{F}^n \subseteq \mathcal{F}^{n+1}$ . Let  $Z \in \mathcal{F}^n$  be associated with  $\delta \subseteq \{1, \dots, k^n\} - \{x_n\}$ . By R10.1.2iii  $Z$  is also in  $\mathcal{Z}(E_{n+1}(k))$  and, as such, must be associated with a unique  $\delta^* \subseteq \{1, \dots, k^{n+1}\}$ . Then  $(\mathbf{N} - Z) \cap C_n^{x_n}$  is finite and, by R10.1.2ii,  $(\mathbf{N} - Z) \cap C_{n+1}^t$  must be finite for  $t \in \{x_n + jk^n : 0 \leq j \leq (k-1)\}$ . Therefore  $x_{n+1} \notin \delta^*$  and so  $Z \in \mathcal{F}^{n+1}$ . Next R10.2.1 and R10.2.2 apply to show that  $\mathcal{F} = \cup\{\mathcal{F}^n : n \in \mathbf{N}\}$  is a non-point  $\mathcal{Z}_k$ -ultrafilter. By construction  $\mathcal{F}$  is associated with  $\{x_n\}$ . If  $\mathcal{G}$  in  $\mathbf{N}_k$  is also associated with  $\{x_n\}$ , then  $\mathcal{G} \cap \mathcal{Z}(E_n(k)) = \mathcal{F}^n$  for all  $n$  and so  $\mathcal{G} = \mathcal{F}$ .

**Proposition R10.2.7** Let  $k \in \mathbf{N}$  with  $k \geq 2$ . Then the cardinality of  $\mathbf{N}_k$  is  $2^{\aleph_0}$ .

Proof: Let  $S$  be the set of sequences associated with some non-point  $\mathcal{Z}_k$ -ultrafilter. Since the set of all sequences in  $\mathbf{N}$  has cardinality  $\aleph_0^{\aleph_0} = 2^{\aleph_0}$ ,  $|S| \leq 2^{\aleph_0}$ . For any  $A \subseteq \mathbf{N}$  let  $x_1 = 1$  if  $1 \in A$  and  $x_1 = 2$  if  $1 \notin A$ . Let  $x_{n+1} = x_n$  if  $n+1 \in A$  and  $x_{n+1} = x_n + k^n$  if  $n+1 \notin A$ . By R10.2.6  $\{x_n\}$  must be in  $S$ . This defines a map from the power set of  $\mathbf{N}$  into  $S$ . This map is easily seen to be one-to-one and so  $2^{\aleph_0} \leq |S|$ . Clearly  $S$  is the image of the function defined in R10.2.3 and, since that function is one-to-one by R10.2.4, the set of non-point  $\mathcal{Z}_k$ -ultrafilters has cardinality  $|S| = 2^{\aleph_0}$ . Since there are countably many point  $\mathcal{Z}_k$ -ultrafilters, the cardinality of  $\mathbf{N}_k$  is  $\aleph_0 + 2^{\aleph_0} = 2^{\aleph_0}$ .

**Lemma R10.2.8** Let  $\mathcal{F}$  be a  $\mathcal{Z}_\infty$ -ultrafilter. Then  $\mathcal{F} \cap \mathcal{Z}_k$  is a  $\mathcal{Z}_k$ -ultrafilter for all  $k$  in  $\mathbf{N}$  and  $\mathcal{F}$  is a point ultrafilter if and only if  $\mathcal{F} \cap \mathcal{Z}_k$  is a point ultrafilter for all  $k$ .

Proof: By the definition of  $\mathcal{Z}_k$ ,  $\mathcal{F} \cap \mathcal{Z}_k = \cup\{\mathcal{F} \cap \mathcal{Z}(E_n(k)) : n \in \mathbf{N}\}$ . By R9.2.2 each  $\mathcal{F} \cap \mathcal{Z}(E_n(k))$  is a  $\mathcal{Z}(E_n(k))$ -ultrafilter. By R9.2.3  $\mathcal{F} \cap \mathcal{Z}_k$  is a  $\mathcal{Z}_k$ -ultrafilter. The second assertion follows easily because  $\{x\} \in \mathcal{Z}_k$  for all  $k$  and  $\mathcal{F}$  is the point ultrafilter determined by  $x$  if and only if  $\{x\} \in \mathcal{F}$ .

**Corollary R10.2.9** The cardinality of  $\mathbf{N}_\infty$  is  $2^{\aleph_0}$ .

Proof: Since  $\mathbf{N}_2 \leq \mathbf{N}_\infty$ , there is a surjection from  $\mathbf{N}_\infty$  to  $\mathbf{N}_2$ . Therefore

$|\mathbf{N}_\infty| \geq |\mathbf{N}_2| = 2^{\aleph_0}$  by R10.2.7. Given  $\mathcal{F}$ , a non-point  $\mathcal{Z}_\infty$ -ultrafilter, the non-point ultrafilter  $\mathcal{F} \cap \mathcal{Z}_k$  is associated with a unique sequence  $\{x_n^k\}$  as in R10.2.3. This defines a map from the non-point ultrafilters of  $\mathbf{N}_\infty$  to the set of sequences of sequences in  $\mathbf{N}$ , and this map is clearly one-to-one. Since there are only countably many point  $\mathcal{Z}_\infty$ -ultrafilters and the set of sequences of sequences in  $\mathbf{N}$  has cardinality  $(\aleph_0^{\aleph_0})^{\aleph_0} = (2^{\aleph_0})^{\aleph_0} = 2^{\aleph_0}$ ,  $|\mathbf{N}_\infty| \leq 2^{\aleph_0}$ .

Notice that R10.2.7 and R10.2.9 yield cardinality proofs that neither  $\mathbf{N}_k$  nor  $\mathbf{N}_\infty$  is  $\beta\mathbf{N}$ , since  $\beta\mathbf{N}$  is known to have cardinality  $2^c$  where  $c = 2^{\aleph_0}$ .

For the next three results the following notational simplification will be used: For  $k, x, m \in \mathbf{N}$  the point  $\mathcal{Z}_k$ -ultrafilter  $\mathcal{F}_x$  will be written as  $\hat{x}$  and  $\mathcal{F}_x \cap \mathcal{Z}(E_m(k))$  as  $\hat{x}^m$ .

**Lemma R10.2.10** Let  $k \in \mathbf{N}$ , let  $\{x_n\}$  be a sequence in  $\mathbf{N}$ , and let  $\mathcal{F} \in \mathbf{N}_k$ . Then  $\hat{x}_n \rightarrow \mathcal{F}$  in  $\mathbf{N}_k$  if and only if  $\hat{x}_n^m \rightarrow \mathcal{F} \cap \mathcal{Z}(E_m(k))$  for every  $m \in \mathbf{N}$ .

Proof: As in the proof of R9.1.1, for any  $m$ , the map  $h : \mathbf{N}_k \rightarrow \omega(\mathcal{Z}(E_m(k)))$  given by  $h(\mathcal{G}) = \mathcal{G} \cap \mathcal{Z}(E_m(k))$  is continuous and so  $\hat{x}_n \rightarrow \mathcal{F}$  in  $\mathbf{N}_k$  implies  $\hat{x}_n^m \rightarrow \mathcal{F} \cap \mathcal{Z}(E_m(k))$ . For the converse, let  $Z \in \mathcal{Z}_k$  with  $\mathcal{F} \notin Z^\omega$ . Since  $\mathcal{Z}_k^\omega$  is a closed base for  $\mathbf{N}_k$ , it is sufficient to show that  $\hat{x}_n \notin Z^\omega$  eventually. Pick  $m$  with  $Z \in \mathcal{Z}(E_m(k))$ . By convergence at level  $m$ ,  $x_n \notin Z$  eventually, which is equivalent to  $\hat{x}_n \notin Z^\omega$  eventually.

**Proposition R10.2.11** Let  $k \in \mathbf{N}$ , let  $\{x_n\}$  be a sequence in  $\mathbf{N}$ , and let  $\mathcal{F} \in \mathbf{N}_k$  be a non-point ultrafilter associated with  $\{y_n\}$ . Then  $\hat{x}_n \rightarrow \mathcal{F}$  in  $\mathbf{N}_k$  if and only if

- i)  $\{x_n\}$  is unbounded and
- ii) for every  $m \in \mathbf{N}$ ,  $x_n$  is eventually in  $C_m^{y_m}(k)$

Proof: First assume  $\hat{x}_n \rightarrow \mathcal{F}$  in  $\mathbf{N}_k$ . If  $\{x_n\}$  were bounded, then  $\{\hat{x}_n : n \in \mathbf{N}\}$  would be finite and so closed in  $\mathbf{N}_k$ . Its open complement would contain the non-point  $\mathcal{F}$ , which would contradict the given convergence. Next let  $m \in \mathbf{N}$  and let  $\mathcal{F}^m = \mathcal{F} \cap \mathcal{Z}(E_m(k))$ . By definition  $\mathcal{F}^m = \{Z \in \mathcal{Z}(E_m(k)) : Z \text{ is associated with } \Delta \subseteq \{1, \dots, k^m\} - \{y_m\}\}$  and so  $C_m^{y_m}(k) \in \mathcal{F}^m$ . Let  $Z = \mathbf{N} - C_m^{y_m}(k)$ .  $Z \in \mathcal{Z}(E_m(k))$  since  $\mathcal{Z}(E_m(k))$  is closed under complementation and, by the definition of a filter,  $Z \notin \mathcal{F}^m$ . In other words,  $\mathcal{F}^m$  is not in the  $\omega(\mathcal{Z}(E_m(k)))$ -closed set  $Z^\omega$ . By R10.2.10  $\hat{x}_n^m \rightarrow \mathcal{F}^m$  in  $\omega(\mathcal{Z}(E_m(k)))$  and so eventually  $\hat{x}_n^m$  is in the complement of  $Z^\omega$ . From that it easily follows that  $x_n$  is eventually in  $C_m^{y_m}(k)$ .

For the converse, assume  $\{x_n\}$  has the two properties. Since  $\mathcal{Z}_k^\omega$  is a closed base for  $\mathbf{N}_k$ , it is sufficient to consider  $\mathcal{F}$  in an open set which is the complement of  $Z^\omega$  for some  $Z \in \mathcal{Z}_k$ . Pick  $m$  with  $Z \in \mathcal{Z}(E_m(k))$  and let  $Z$  be associated with  $\Delta \subseteq \{1, \dots, k^m\}$ . Since  $Z \notin \mathcal{F}$ ,  $Z \notin \mathcal{F} \cap \mathcal{Z}(E_m(k))$ . By definition of  $y_m$ ,  $y_m \in \Delta$  so that  $Z \cap C_m^{y_m}(k)$  is finite. Since  $\{x_n\}$  is unbounded and eventually in  $C_m^{y_m}(k)$ , eventually  $x_n \notin Z$ . In other words, eventually  $\hat{x}_n$  is in the complement of  $Z^\omega$ , as required for convergence.

**Proposition R10.2.12** Let  $k \in \mathbf{N}$  and let  $\{x_n\}$  be an unbounded sequence in  $\mathbf{N}$ . Assume for every  $m \in \mathbf{N}$  there is  $y_m \in \{1, \dots, k^m\}$  such that  $x_n$  is eventually in  $C_m^{y_m}(k)$ . Then there is a non-point  $\mathcal{Z}_k$ -ultrafilter  $\mathcal{F}$  such that  $\mathcal{F}$  is associated with  $\{y_n\}$  and  $\hat{x}_n \rightarrow \mathcal{F}$  in  $\mathbf{N}_k$ .

Proof: Let  $m \in \mathbf{N}$ . By R10.1.2ii,  $C_m^{y_m}(k) = \bigcup \{C_{m+1}^{y_m + jk^m}(k) : j = 0, \dots, k-1\}$ . Since the equivalence classes form a partition and  $x_n$  is eventually in both  $C_m^{y_m}(k)$  and  $C_{m+1}^{y_m+1}(k)$ ,  $y_{m+1}$  must be in  $\{y_m + jk^m : j \in \{0, 1, \dots, k-1\}\}$ . By R10.2.6 there is a unique non-point  $\mathcal{Z}_k$ -ultrafilter  $\mathcal{F}$  associated with  $\{y_n\}$ . By R10.2.11  $\hat{x}_n \rightarrow \mathcal{F}$  in  $\mathbf{N}_k$ .

### Lattice Structure and Divisibility

Well-known facts about divisibility will be used in this subsection. For  $a, b \in \mathbf{N}$ ,  $a|b$  means  $a$  divides  $b$ ,  $[a, b]$  denotes the least common multiple (LCM) of  $a$  and  $b$ , and  $(a, b)$  the greatest common divisor (GCD).

**Lemma R10.3.1** Let  $a, b \in \mathbf{N}$ . Then

- i) If  $a|b$ , then  $\mathbf{N}_a \leq \mathbf{N}_b$ .
- ii) For any  $j \in \mathbf{N}$ ,  $\mathbf{N}_{a^j}$  is equivalent to  $\mathbf{N}_a$ .
- iii)  $\mathbf{N}_a \vee \mathbf{N}_b \leq \mathbf{N}_{[a,b]}$ .
- iv)  $\mathbf{N}_{(a,b)} \leq \mathbf{N}_a \wedge \mathbf{N}_b$ .

Proof: If  $a|b$ , it is clear from the definitions that  $E_n(b) \subseteq E_n(a)$  and so, by R10.1.1 and R9.1.8,  $[(\omega(\mathcal{Z}(E_n(a))), \iota_{\mathcal{Z}(E_n(a))})] \leq [(\omega(\mathcal{Z}(E_n(b))), \iota_{\mathcal{Z}(E_n(b))})]$ . This immediately yields  $\mathbf{N}_a \leq \mathbf{N}_b$ . Similarly,  $E_n(a^j) = E_{nj}(a)$  so that  $\mathbf{N}_{a^j} \leq \mathbf{N}_a$ . Part i) gives the reverse inequality so that ii) follows. Since both  $a$  and  $b$  divide  $[a, b]$ , iii) is immediate from i). Part iv) follows in the same way because  $(a, b)$  divides both  $a$  and  $b$ .

**Lemma R10.3.2** Let  $a, b \in \mathbf{N}$  and let  $m = [a, b]$ . Let  $j \in \mathbf{N}$ . If  $C_j^r(a) \cap C_j^s(b) \neq \emptyset$  for some  $r \in \{1, \dots, a^j\}, s \in \{1, \dots, b^j\}$ , then there is a unique  $t \in \{1, \dots, m^j\}$  such that  $C_j^r(a) \cap C_j^s(b) \subseteq C_j^t(m)$ .

Proof: Let  $x$  be in the intersection. There is a unique  $t \in \{1, \dots, m^j\}$  such that  $x \in C_j^t(m)$ . If  $y$  is also in the intersection, then  $a^j|(x-y)$  and  $b^j|(x-y)$  so that  $m^j|(x-y)$ , i.e.,  $x$  and  $y$  must be in the same  $E_j(m)$  equivalence class.

The rest of this subsection will use the following notation: for  $t, x \in \mathbf{N}$ ,  ${}^t\hat{x}$  will denote the point-ultrafilter determined by  $x$  in  $\mathbf{N}_t$ . In the next proposition the compactification  $\mathbf{N}_a \vee \mathbf{N}_b$  will be represented as a subspace of  $\mathbf{N}_a \times \mathbf{N}_b$  as in R3.1.2 of [3].

**Proposition R10.3.3** Let  $a, b \in \mathbf{N}$ . Then  $\mathbf{N}_a \vee \mathbf{N}_b$  is equivalent to  $\mathbf{N}_{[a,b]}$ .

Proof: Let  $m = [a, b]$ . By R10.3.1iii there is  $h : \mathbf{N}_m \rightarrow \mathbf{N}_a \vee \mathbf{N}_b$  continuous, closed and onto such that  $h({}^m\hat{x}) = ({}^a\hat{x}, {}^b\hat{x})$  for all  $x$  in  $\mathbf{N}$ . It is sufficient to show that  $h$  is one-to-one.

Suppose  $h(\mathcal{F}) = h(\mathcal{G}) = (\mathcal{H}_1, \mathcal{H}_2)$  where  $\mathcal{F}, \mathcal{G}$  are in  $\mathbf{N}_m$ ,  $\mathcal{H}_1$  is in  $\mathbf{N}_a$ , and  $\mathcal{H}_2$  is in  $\mathbf{N}_b$ . By R10.1.10iii and density, there exist sequences  $\{x_n\}$  and  $\{y_n\}$  in  $\mathbf{N}$  such that  ${}^m\hat{x}_n \rightarrow \mathcal{F}$  and  ${}^m\hat{y}_n \rightarrow \mathcal{G}$  in  $\mathbf{N}_m$ . By continuity of  $h$  and the fact that  $h$  ‘respects’ the embeddings,  ${}^a\hat{x}_n \rightarrow \mathcal{H}_1$  and  ${}^a\hat{y}_n \rightarrow \mathcal{H}_1$  in  $\mathbf{N}_a$ . Similarly,  ${}^b\hat{x}_n \rightarrow \mathcal{H}_2$  and  ${}^b\hat{y}_n \rightarrow \mathcal{H}_2$  in  $\mathbf{N}_b$ .

If  $\mathcal{H}_1$  or  $\mathcal{H}_2$  is a point-ultrafilter, then both  $\{x_n\}$  and  $\{y_n\}$  must be eventually constant with the same constant, which would imply that  $\mathcal{F} = \mathcal{G}$ . If  $\mathcal{F}$  or  $\mathcal{G}$  is a point-ultrafilter, both  $\mathcal{H}_1$  and  $\mathcal{H}_2$  are point-ultrafilters, which again yields  $\mathcal{F} = \mathcal{G}$ . Thus we can assume that  $\mathcal{F}, \mathcal{G}, \mathcal{H}_1$ , and  $\mathcal{H}_2$  are non-point ultrafilters associated with  $\{p_j\}, \{q_j\}, \{r_j\}$ , and  $\{s_j\}$  respectively.

Now fix  $j \in \mathbf{N}$ . Applying R10.2.11 repeatedly to the convergence facts described above,  $x_n$  is eventually in  $C_j^{p_j}(m)$ ,  $y_n$  is eventually in  $C_j^{q_j}(m)$ , both  $x_n$  and  $y_n$  are eventually in  $C_j^{r_j}(a)$ , and both  $x_n$  and  $y_n$  are eventually in  $C_j^{s_j}(b)$ . By R10.3.2 there must be a unique  $t$  such that both  $x_n$  and  $y_n$  are eventually in  $C_j^t(m)$  and so  $p_j = t = q_j$ . By R10.2.4  $\mathcal{F} = \mathcal{G}$ .

**Proposition R10.3.4**  $\mathbf{N}_\infty$  is equivalent to  $\vee\{\mathbf{N}_p : p \text{ is prime}\}$ .

Proof: From R10.1.8 it is clear that  $\vee\{\mathbf{N}_p : p \text{ is prime}\} \leq \mathbf{N}_\infty$ . Now let  $k \in \mathbf{N}$ . If  $k = 1$ , then  $\mathbf{N}_1$  is the one-point compactification and so  $\mathbf{N}_1 \leq \mathbf{N}_p$  for any prime. If

$k > 1$ , let the prime factorization of  $k$  be  $\prod_{i=1}^n p_i^{j_i}$ . By R10.3.1ii each  $\mathbf{N}_{p_i^{j_i}}$  is equivalent to  $\mathbf{N}_{p_i}$  and, since  $k$  is the LCM of  $\{p_1^{j_1}, \dots, p_n^{j_n}\}$ , a routine inductive extension of R10.3.3 shows that  $\mathbf{N}_k$  is equivalent to  $\vee\{\mathbf{N}_t : t \in \{p_1, \dots, p_n\}\}$ . Thus  $\mathbf{N}_k \leq \vee\{\mathbf{N}_p : p \text{ is prime}\}$  for all  $k$ . It follows that  $\mathbf{N}_\infty \leq \vee\{\mathbf{N}_p : p \text{ is prime}\}$ .

**Proposition R10.3.5** Let  $p, q$  be distinct primes in  $\mathbf{N}$ . Then  $\mathbf{N}_p \not\leq \mathbf{N}_q$ .

Proof: Deny. Then there is a continuous onto map  $f : \mathbf{N}_q \rightarrow \mathbf{N}_p$  such that  $f({}^q\widehat{x}) = {}^p\widehat{x}$  for all  $x$  in  $\mathbf{N}$ . Use R10.2.12 with  $k = q$  and  $y_m = q^m$  to see that the sequence  ${}^q\widehat{q^n}$  converges to a non-point ultrafilter in  $\mathbf{N}_q$ . By the continuity of  $f$  the sequence  ${}^p\widehat{q^n}$  converges to a non-point ultrafilter in  $\mathbf{N}_p$ . Applying R10.2.11 to the second sequence with  $m = 1$ , we can find  $t \in \{1, \dots, p\}$  such that  $q^n$  is eventually in  $C_1^t(p)$ . Thus, for sufficiently large  $n$ ,  $q^n \equiv q^{n+1} \pmod{p}$ . Since  $q$  is invertible mod  $p$ , this yields  $q \equiv 1 \pmod{p}$ . Similarly by R10.2.12 the sequence  ${}^q\widehat{nq^n}$  converges to a non-point ultrafilter in  $\mathbf{N}_q$  and so  ${}^p\widehat{nq^n}$  converges to a non-point ultrafilter in  $\mathbf{N}_p$ . Applying R10.2.11 to the last sequence with  $m = 1$ , we find  $s \in \{1, \dots, p\}$  such that  $nq^n$  is eventually in  $C_1^s(p)$ , i.e., for sufficiently large  $n$ ,  $nq^n \equiv (n+1)q^{n+1} \pmod{p}$ . Since  $q \equiv 1 \pmod{p}$ , this implies  $n \equiv n+1 \pmod{p}$  for sufficiently large  $n$ , a contradiction.

Since the roles of  $p$  and  $q$  can be reversed, R10.3.5 says that  $\mathbf{N}_p$  and  $\mathbf{N}_q$  are not related in any way. Although the question of whether the topological space  $\mathbf{N}_p$  is homeomorphic to  $\mathbf{N}_q$  remains open, the following corollary is immediate.

**Corollary R10.3.6** Let  $p, q$  be distinct primes in  $\mathbf{N}$ . Then  $\mathbf{N}_p$  and  $\mathbf{N}_q$  are not equivalent compactifications.

This subsection concludes with a sharpened version of R10.3.1iv. In [2] each totally bounded uniformity,  $\mathcal{U}$ , for a  $T_{3\frac{1}{2}}$  space  $X$  determines a compactification class  $\Psi_0(\mathcal{U})$ . R5.2.4 and R5.3.8 show that, if  $X$  is an infinite discrete space and  $E$  is an  $n$ -compatible equivalence relation on  $X$ , then  $\Psi_0(\mathcal{U}_m \vee \mathcal{U}_E)$  is compactification class of  $(\omega(\mathcal{Z}(E)), \iota_{\mathcal{Z}(E)})$ , where  $\mathcal{U}_m$  is the totally bounded uniformity associated with the one-point compactification and  $\mathcal{U}_E$  is the uniformity based on  $E$  as defined in R5.2.1. The next two lemmas use these ideas to describe the uniformity associated with  $\mathbf{N}_k$ .

**Lemma R10.3.7** Let  $X$  be an infinite discrete space. Suppose  $\Delta$  is non-empty and for each  $\alpha \in \Delta$   $E_\alpha$  is an  $n_\alpha$ -compatible equivalence relation on  $X$  such that  $\{\mathcal{Z}(E_\alpha) : \alpha \in \Delta\}$  is a directed set under containment. Let  $\mathcal{Z} = \cup\{\mathcal{Z}(E_\alpha) : \alpha \in \Delta\}$  and  $\mathcal{U}_\alpha$  be the totally bounded uniformity for  $X$  such that  $\Psi_0(\mathcal{U}_\alpha) = [(\omega(\mathcal{Z}(E_\alpha)), \iota_{\mathcal{Z}(E_\alpha)})]$ . Then  $\Psi_0(\vee\{\mathcal{U}_\alpha : \alpha \in \Delta\}) = [(\omega(\mathcal{Z}), \iota_{\mathcal{Z}})]$ .

Proof: Let  $\mathcal{U}$  be the totally bounded uniformity for  $X$  such that  $\Psi_0(\mathcal{U}) = [(\omega(\mathcal{Z}), \iota_{\mathcal{Z}})]$ . R1.5 and R9.2.4 clearly imply that  $\mathcal{U} = \vee\{\mathcal{U}_\alpha : \alpha \in \Delta\}$ .

In the rest of this subsection, for  $j, t$  in  $\mathbf{N}$ ,  $\mathcal{U}_j(t)$  will denote  $\mathcal{U}_m \vee \mathcal{U}_{E_j(t)}$ .

**Lemma R10.3.8** Let  $k$  be in  $\mathbf{N}$ . Let  $\mathcal{U}$  be the totally bounded uniformity for  $\mathbf{N}$  such that  $\Psi_0(\mathcal{U})$  is the compactification class of  $\mathbf{N}_k$ . Then  $\mathcal{U} = \cup\{\mathcal{U}_j(k) : j \in \mathbf{N}\}$ .

Proof: The hypotheses of R10.3.7 are satisfied and so  $\mathcal{U} = \vee\{\mathcal{U}_j(k) : j \in \mathbf{N}\}$ . By R10.1.2iii  $\mathcal{Z}(E_j(k)) \subseteq \mathcal{Z}(E_{j+1}(k))$  for all  $j$  in  $\mathbf{N}$ . By R9.1.8 and R1.5  $\mathcal{U}_j(k) \subseteq \mathcal{U}_{j+1}(k)$  for all  $j$ . Since  $\{\mathcal{U}_j(k) : j \in \mathbf{N}\}$  is a chain,  $\vee\{\mathcal{U}_j(k) : j \in \mathbf{N}\} = \cup\{\mathcal{U}_j(k) : j \in \mathbf{N}\}$ .

**Proposition R10.3.9** Let  $a, b \in \mathbf{N}$ . Then  $\mathbf{N}_{(a,b)} = \mathbf{N}_a \wedge \mathbf{N}_b$ .

Proof: Let  $c = (a, b)$  so that  $c^j = (a^j, b^j)$  for any  $j \in \mathbf{N}$ . Let  $(Y, f)$  be a  $T_2$  compactification of  $\mathbf{N}$  with  $(Y, f) \leq \mathbf{N}_a$  and  $(Y, f) \leq \mathbf{N}_b$ . Let  $\mathcal{V}$  be the totally bounded

uniformity for  $\mathbf{N}$  with  $\Psi_0(\mathcal{V}) = [(Y, f)]$ . By R10.3.8, R1.5, and R10.3.1iv it is sufficient to show that  $\mathcal{V} \subseteq \cup\{\mathcal{U}_j(c) : j \in \mathbf{N}\}$ . Let  $V \in \mathcal{V}$  and pick  $W \in \mathcal{V}$  with  $W = W^{-1}$  and  $W \circ W \subseteq V$ . The assumptions about  $Y$  along with R1.5 and R10.3.8 imply that  $W$  is in both  $\cup\{\mathcal{U}_j(a) : j \in \mathbf{N}\}$  and  $\cup\{\mathcal{U}_j(b) : j \in \mathbf{N}\}$ . Since both  $\{\mathcal{U}_j(a) : j \in \mathbf{N}\}$  and  $\{\mathcal{U}_j(b) : j \in \mathbf{N}\}$  are chains, there is  $l \in \mathbf{N}$  such that  $W \in \mathcal{U}_l(a) \cap \mathcal{U}_l(b)$ . Then there is  $U_1 \in \mathcal{U}_m$  with  $U_1 \cap E_l(a) \subseteq W$  and  $U_1 \cap E_l(b) \subseteq W$ . Because  $\mathcal{U}_m$  is the uniformity associated with the one-point compactification of  $\mathbf{N}$ , there is  $B$  such that  $B \times B \subseteq U_1$  and  $\mathbf{N} - B$  is finite. Let  $U = B \times B \cup \{(x, x) : x \in \mathbf{N} - B\}$ .  $U \in \mathcal{U}_m$  and so the proof can be completed by showing  $U \cap E_l(c) \subseteq V$ . Let  $(x, y) \in U \cap E_l(c)$ . Without loss of generality, assume  $x > y$  so that  $x, y \in B$  and  $x - y = tc^l$  for some  $t$  in  $\mathbf{N}$ . Since  $c^l$  is the GCD of  $a^l$  and  $b^l$ , there are infinitely many integer solutions of  $c^l = \alpha a^l + \beta b^l$  with  $\alpha < 0$  and  $\beta > 0$ . Thus, since  $\mathbf{N} - B$  is finite, we can find one such solution with  $z = x - \alpha a^l = y + \beta b^l$  in  $B$ . Then both  $(x, z)$  and  $(z, y)$  are in  $W$  so that  $(x, y) \in W \circ W \subseteq V$ .

**Corollary R10.3.10** Let  $k \in \mathbf{N}$ . Then  $\mathbf{N}_k$  is not equivalent to  $\mathbf{N}_\infty$ .

Proof: If  $k = 1$ , the conclusion follows because  $\mathbf{N}_1$  is the one-point compactification and  $\mathbf{N}_\infty$  is not a finite-point compactification. If  $k > 1$ , let  $p$  be a prime with  $(k, p) = 1$  and suppose  $\mathbf{N}_k$  is equivalent to  $\mathbf{N}_\infty$ . Then  $\mathbf{N}_k \geq \mathbf{N}_p$  so that  $\mathbf{N}_k \wedge \mathbf{N}_p = \mathbf{N}_p$ , which contradicts R10.3.9.

Albert J. Klein 2005

<http://www.susanjkleinart.com/compactification/>

## References

1. This Website, P3: Normal Bases
2. This Website, R1: Existence of the Supremum via Uniform Space Theory
3. This Website, R3: Representation of Suprema
4. This Website, R5: Finite-Point Compactifications
5. This Website, R6: Suprema of Two-point Compactifications
6. This Website, R9: Directed Sets of Normal Bases

## Added Comment 2019

In R26.Add.19 it is shown that, for  $k, l \in \mathbf{N}$  with  $k, l \geq 2$ ,  $\mathbf{N}_k$  and  $\mathbf{N}_l$  are homeomorphic topological spaces.

## Added Reference

7. This Website, R26: The Remnant Rings Are Homeomorphic

## Added 2021

$\mathbf{N}_\infty$  is the compactification generated by  $\mathcal{Z}_\infty$ , which is  $\cup\{\mathcal{Z}_k : k \in \mathbf{N}\}$ . By R10.3.4  $\mathbf{N}_\infty$  is equivalent to  $\vee\{\mathbf{N}_p : p \text{ is prime}\}$ , a fact that might suggest the question of whether  $\cup\{\mathcal{Z}_p : p \text{ is prime}\}$  is equal to  $\mathcal{Z}_\infty$ . The next example shows that it is only a proper subset.

**Example R10.Add.1**  $C_1^1(6) \in \mathcal{Z}(E_1(6))$  since the set is associated with  $\{1, 2, 3, 4, 5\}$ . Thus  $C_1^1(6)$  is in  $\mathcal{Z}_6 \subseteq \mathcal{Z}_\infty$ . If  $C_1^1(6)$  is in  $\mathcal{Z}_2$ , then for some  $n$  it is in  $\mathcal{Z}(E_n(2))$  and as such is associated with some  $\Delta \subseteq \{1, 2, \dots, 2^n\}$ . By definition  $i \in \Delta$  implies  $C_1^1(6) \cap C_n^i(2)$  is finite and  $i \notin \Delta$  implies  $(\mathbf{N} - C_1^1(6)) \cap C_n^i(2)$  is finite. For every  $k \in \mathbf{N}$ ,  $1 + 3k \cdot 2^n \in C_1^1(6) \cap C_n^1(2)$  and so  $1 \notin \Delta$ . For every  $j \in \mathbf{N}$  with  $(j, 3) = 1$ ,  $1 + j \cdot 2^n \in (\mathbf{N} - C_1^1(6)) \cap C_n^1(2)$ , which contradicts  $1 \notin \Delta$ . Now suppose  $C_1^1(6)$  is in  $\mathcal{Z}_p$  for some odd prime  $p$ . Then for some  $n$  it is in  $\mathcal{Z}(E_n(p))$  and as such is associated with some  $\Delta \subseteq \{1, 2, \dots, p^n\}$ . By definition  $i \in \Delta$  implies  $C_1^1(6) \cap C_n^i(p)$  is finite and  $i \notin \Delta$  implies  $(\mathbf{N} - C_1^1(6)) \cap C_n^i(p)$  is finite. For every  $k \in \mathbf{N}$   $1 + 6k \cdot p^n$  is in  $C_1^1(6) \cap C_n^1(p)$  and so  $1 \notin \Delta$ . For every  $k$  odd  $1 + kp^n$  is in  $(\mathbf{N} - C_1^1(6)) \cap C_n^1(p)$ , which contradicts  $1 \notin \Delta$ . Thus  $C_1^1(6) \notin \cup\{\mathcal{Z}_p : p \text{ is prime}\}$ .

It is easy to check that  $C_1^1(6) = C_1^1(2) \cap C_1^1(3)$  and so the example also shows that  $\cup\{\mathcal{Z}_p : p \text{ is prime}\}$  is not a normal basis for  $\mathbf{N}$  with the discrete topology.