

## Suprema of countable families

A supremum of a countably infinite family of compactifications will be shown to be the inverse limit of a suitable inverse spectrum. Notation consistent with that in Appendix II of Dugundji [1] is used, but the presentation does not refer to Dugundji's general results. The countable case is a special case of the main result in [2] but is presented separately to make a slightly simpler construction available.

Given  $\{(Y, f_\alpha) : \alpha \in \Delta\}$ , a non-empty family of  $T_2$  compactifications of some space  $X$ , the notation  $(Z, g) = \bigvee \{(Y, f_\alpha) : \alpha \in \Delta\}$  will be used instead of more cumbersome but more careful expressions that the class  $[(Z, g)]$  has the properties of a supremum.

Assumptions for this section: Let  $(X, \tau)$  be a non-compact  $T_{3\frac{1}{2}}$  space and let  $\{(Y_i, f_i) : i = 1 \dots \infty\}$  be a countably infinite set of  $T_2$  compactifications of  $X$ . Let  $W_1 = Y_1$  and, for each  $j \geq 2$ , let  $W_j = \overline{\{(f_1(x), f_2(x), \dots, f_j(x)) : x \in X\}}$ , where the closure is in  $Y_1 \times Y_2 \times \dots \times Y_j$ , and let  $F_j : X \rightarrow W_j$  be defined by  $F_j(x) = (f_1(x), \dots, f_j(x))$ . In R3.1.2 of [2] it is shown that  $(W_j, F_j) = \bigvee_{i=1}^j (Y_i, f_i)$ . For positive integers  $k$  and  $m$  with  $k \leq m$ , let  $\pi_{mk}$  be the restriction to  $W_m$  of the continuous projection described by  $\pi_{mk}(y_1, \dots, y_k, \dots, y_m) = (y_1, \dots, y_k)$ .

**Lemma R4.1**  $\pi_{mk}$  maps  $W_m$  onto  $W_k$ .

Proof: Clearly  $\pi_{mk} \circ F_m = F_k$  so that  $\pi_{mk}[F_m[X]] = F_k[X]$ . By continuity  $\pi_{mk}[W_m] = \pi_{mk}[\overline{F_m[X]}] \subseteq \overline{F_k[X]} = W_k$ . Surjectivity of  $\pi_{mk}$  follows immediately because  $\pi_{mk}[W_m]$  is closed and contains the dense  $F_k[X]$ .

**Lemma R4.2** Let  $k, l, m$  be positive integers with  $k \leq l \leq m$ . Then  $\pi_{lk} \circ \pi_{ml} = \pi_{mk}$ .

Proof: Clear from the definition.

Additional assumptions for this section: In  $\prod_{i=1}^{\infty} W_i$ , let  $S = \{y : k \leq m \Rightarrow y(k) = \pi_{mk}(y(m))\}$ . For  $x \in X$ ,  $y_x$  in  $\prod_{i=1}^{\infty} W_i$  is defined by  $y_x(k) = F_k(x)$ , i.e.,  $y_x(k) = (f_1(x), f_2(x), \dots, f_k(x))$ .

**Lemma R4.3**  $S$  is closed in  $\prod_{i=1}^{\infty} W_i$  and, for all  $x \in X$ ,  $y_x \in S$ .

Proof: Let  $\{x_t\}$  be a net in  $S$  converging to  $y \in \prod_{i=1}^{\infty} W_i$ , and let  $k \leq m$ . By definition of  $S$ , for every  $t$ ,  $x_t(k) = \pi_{mk}(x_t(m))$ . Since the product has the topology of pointwise convergence,  $\{x_t(k)\}$  and  $\{x_t(m)\}$  converge to  $y(k)$  and  $y(m)$  respectively. Since  $\pi_{mk}$  is continuous and limits are unique in a  $T_2$  space,  $y(k) = \pi_{mk}(y(m))$ . Thus  $y \in S$  and  $S$  is closed. The second assertion is clear from the definitions of  $y_x$ ,  $\pi_{mk}$ , and  $S$ .

In Dugundji's terminology [1],  $\{W_j; \pi_{mk}\}$  is an inverse spectrum over the natural numbers with spaces  $W_j$  and connecting maps  $\pi_{mk}$ .  $S$  is the inverse limit space of the spectrum.

Additional assumptions for this section: Let  $f : X \rightarrow S$  be defined by  $f(x) = y_x$ . Let  $\rho_j$  denote the projection from  $\prod_{i=1}^{\infty} W_i$  onto  $W_j$ .

**Lemma R4.4**  $f$  is an embedding.

Proof: For  $x \neq t$  in  $X$ ,  $y_x(1) = f_1(x) \neq f_1(t) = y_t(1)$  since  $f_1$  is one-to-one, so that  $f(x) \neq f(t)$ . Also,  $\rho_k \circ f = F_k$  is continuous for every  $k$ . Thus  $f$  is continuous, one-to-one, and onto  $f[X]$ . Now let  $O$  be open in  $X$  with  $x \in O$ . Since  $f_1$  is an embedding, there exists  $U$  open in  $Y_1$  with  $f_1[O] = U \cap f_1[X]$ . Then  $\rho_1^{-1}[U]$  is open in  $\prod_{i=1}^{\infty} W_i$  and it is easy to check that  $f(x) \in \rho_1^{-1}[U] \cap f[X] \subseteq f[O] \cap f[X]$ . Thus  $f$  is also relatively open and so an embedding.

**Lemma R4.5** Let  $B$  be a non-empty open subset of  $S$  and let  $y \in B$ . Then there exist a natural number  $m$  and  $O_1, O_2, \dots, O_m$  with  $O_i$  open in  $Y_i$  such that  $y \in \rho_m^{-1}[(O_1 \times O_2 \times \dots \times O_m) \cap W_m] \cap S \subseteq B$ .

Proof: There exist integers  $n_1 < n_2 < \dots < n_k$  and  $U_{n_1}, \dots, U_{n_k}$  with  $U_{n_j}$  open in  $W_{n_j}$  such that

$$y \in (\cap_{i=1}^k \rho_{n_i}^{-1}[U_{n_i}]) \cap S \subseteq B.$$

It is sufficient to derive the desired conclusion for such a basic open set with  $m = n_k$ . The proof continues by strong induction on  $n_k$ . If  $n_k = 1$ , then it is clear that the conclusion holds with  $O_1 = U_1$ . Now assume the conclusion holds for all positive integers less than  $n_k$ , so that there exist  $\tilde{O}_1, \tilde{O}_2, \dots, \tilde{O}_{n_k-1}$  with  $\tilde{O}_i$  open in  $Y_i$  and

$$y \in \rho_{n_k-1}^{-1}[(\tilde{O}_1 \times \dots \times \tilde{O}_{n_k-1}) \cap W_{n_k-1}] \cap S \subseteq (\cap_{i=1}^{k-1} \rho_{n_i}^{-1}[U_{n_i}]) \cap S.$$

Since  $y(n_k) \in U_{n_k}$ , there exist  $O_1^*, O_2^*, \dots, O_{n_k}^*$  with  $O_i^*$  open in  $Y_i$  such that

$$y(n_k) \in (O_1^* \times \dots \times O_{n_k}^*) \cap W_{n_k} \subseteq U_{n_k}.$$

Now let  $O_i = \tilde{O}_i \cap O_i^*$  for  $1 \leq i \leq n_k-1$  and  $O_i = O_i^*$  for  $n_k-1 < i \leq n_k$ . Since  $y \in S$ ,  $y(n_k-1)$  appears as the first  $n_k-1$  components of  $y(n_k)$ . It follows that  $y(n_k) \in (O_1 \times \dots \times O_{n_k}) \cap W_{n_k}$ . Now let  $w \in (\rho_{n_k}^{-1}[(O_1 \times \dots \times O_{n_k}) \cap W_{n_k}]) \cap S$ . Since  $O_i \subseteq O_i^*$ , we have  $w(n_k) \in U_{n_k}$  and  $w \in \rho_{n_k}^{-1}[U_{n_k}]$ . Since  $O_i \subseteq \tilde{O}_i$  for  $1 \leq i \leq n_k-1$  and, because  $w \in S$ ,  $w(n_k-1)$  appears as the first  $n_k-1$  components of  $w(n_k)$ , we also have  $w \in (\cap_{i=1}^{n_k-1} \rho_{n_i}^{-1}[U_{n_i}]) \cap S$ . Combining all this, we obtain the required

$$y \in \rho_{n_k}^{-1}[(O_1 \times O_2 \times \dots \times O_{n_k}) \cap W_{n_k}] \cap S \subseteq (\cap_{i=1}^k \rho_{n_i}^{-1}[U_{n_i}]) \cap S.$$

**Proposition R4.6**  $(S, f)$  is a  $T_2$  compactification of  $X$ .

Proof:  $S$  is  $T_2$  and compact by virtue of its position as a closed subspace of a compact,  $T_2$  space. Since  $f$  is an embedding from above, only the density of  $f[X]$  remains to be shown. Let  $B$  be a non-empty open subset of  $S$ . For any  $y \in B$ , by R4.5 there exist  $m$  and  $O_1, O_2, \dots, O_m$  with  $O_i$  open in  $Y_i$  and  $y \in \rho_m^{-1}[(O_1 \times \dots \times O_m) \cap W_m] \cap S \subseteq B$ . Since  $F_m[X]$  is dense in  $W_m$ , there exists  $x \in X$  with  $F_m(x) = \rho_m(y_x) \in (O_1 \times \dots \times O_m) \cap W_m$ . Thus  $y_x = f(x)$  is in  $B$  and so  $f[X]$  is dense in  $S$ .

**Theorem R4.7**  $(S, f) = \bigvee_{i=1}^{\infty} (Y_i, f_i)$ .

Proof: Let  $g_j = \rho_j|_S$ . Clearly  $g_j : S \rightarrow W_j$  is continuous and  $g_j \circ f = F_j$ . Therefore the closed image of  $g_j$  must contain the dense  $F_j[X]$  so that  $g_j$  is onto. Thus  $g_j$  is the map required by the definition to show that  $(S, f) \geq (W_j, F_j)$ . As noted above,  $(W_j, F_j) = \bigvee_{i=1}^j (Y_i, f_i)$  and so by transitivity  $(S, f) \geq (Y_j, f_j)$ , i.e.,  $(S, f)$  is an upper bound. Now suppose the  $T_2$  compactification  $(Z, h)$  is also an upper bound, and, for each  $j$ , let  $\phi_j : Z \rightarrow Y_j$  be the continuous surjection such that  $\phi_j \circ h = f_j$ . For  $z \in Z$  let  $\{x_t\}$  be a net in  $X$  such that  $\{h(x_t)\}$  converges to  $z$ . For any  $j$ , by continuity the net  $(\phi_1(h(x_t)), \dots, \phi_j(h(x_t))) = (f_1(x_t), \dots, f_j(x_t))$  converges to  $(\phi_1(z), \dots, \phi_j(z))$ , which consequently must be in  $W_j$ . Thus it is possible to define  $\phi : Z \rightarrow \prod_{i=1}^{\infty} W_i$  by  $\phi(z)(j) = (\phi_1(z), \dots, \phi_j(z))$ . Since  $\rho_j \circ \phi$  is continuous for all  $j$ ,  $\phi$  is continuous. For  $x \in X$  and any  $j$ ,  $\phi(h(x))(j) =$

$(f_1(x), \dots, f_j(x)) = y_x(j) = f(x)(j)$  and so  $\phi \circ h = f$ . This also shows that  $f[X]$  is contained in the closed set  $\phi[Z]$ , i.e.,  $S \subseteq \phi[Z]$ . Now let  $w = \phi(z)$ . Given positive integers  $m \geq k$ ,  $\pi_{mk}(w(m)) = (\phi_1(z), \dots, \phi_k(z)) = w(k)$ . Thus  $w \in S$  and so  $\phi[Z] = S$ . The map  $\phi$  shows that  $(Z, h) \geq (S, f)$  and so  $(S, f)$  represents the least upper bound, as claimed.

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### References

1. Dugundji, J., Topology, Allyn and Bacon, 1966.
2. This website, R3: Representation of Suprema

### Added Comment 2007

The representation in this section is a corollary to R3.2.7, which can be obtained as follows. Let the index set  $\Delta$  be  $\{1, 2, 3, \dots\}$  and let  $\mathcal{C}$ , the co-final subset of  $\Delta^*$ , be  $\{\{1\}, \{1, 2\}, \{1, 2, 3\}, \dots, \{1, 2, 3, \dots, n\}, \dots\}$ .